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PATENT  
Attorney Docket No.: 016556-003210US

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450



On FEBRUARY 17, 2005

TOWNSEND and TOWNSEND and CREW LLP

By: Mark T. Davis  
Mark T. Davis

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of:

LI et al.

Application No.: 10/628,587

Filed: July 23, 2003

For: SINGLE PROMOTER SYSTEM  
FOR MAKING SIRNA EXPRESSION  
CASSETTES AND EXPRESSION  
LIBRARIES USING A POLYMERASE  
PRIMER HAIRPIN LINKER

Examiner: Not yet assigned

Art Unit: 1632

INFORMATION DISCLOSURE  
STATEMENT UNDER 37 CFR §1.97 and  
§1.98

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The references cited on attached form PTO/SB/08A are being called to the attention of the Examiner. Copies of the non-U.S. references are enclosed. In accordance with the USPTO waiver of the requirement under 37 CFR 1.98 (a)(2)(i) for submitting a copy of each cited U.S. patent and each U.S. patent application publication for all U.S. patent applications, applicant has not submitted copies of the U.S. references. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the

references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

Also enclosed is a copy of the Search/Examination report corresponding to the PCT application.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

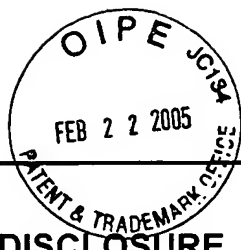
Applicant believes that no fee is required for submission of this statement. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



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Substitute for form 1449B/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet

1

of 1

**Complete if Known**

|                        |                  |
|------------------------|------------------|
| Application Number     | 10/628,587       |
| Filing Date            | July 23, 2003    |
| First Named Inventor   | Li, Henry        |
| Art Unit               | 1632             |
| Examiner Name          | Not yet assigned |
| Attorney Docket Number | 016556-003210US  |

**U.S. PATENT DOCUMENTS+**

| Examiner Initials* | Cite No. <sup>1</sup> | Document Number<br>Number Kind Code <sup>2</sup> (if known) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where<br>Relevant Passages or Relevant<br>Figures Appear |
|--------------------|-----------------------|---|--------------------------------|--|---|
|                    | AA                    | US 2003/0144239 A1  | 07-31-2003                     | Agami et al.                                       |   |
|                    | AB                    | US-2004/0002077 A1  | 01-01-2004                     | Taira et al.                                       |   |
|                    | AC                    | US-2004/0005593 A1  | 01-08-2004                     | Lorens   |   |
|                    | AD                    | US-2004/0152172   | 08-05-2004                     | Geppert  |   |

**NON PATENT LITERATURE DOCUMENTS**

| Examiner Initials * | Cite No. <sup>1</sup> | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | T <sup>2</sup> |
|---------------------|-----------------------|---|----------------|
|                     | AE                    | BEGER, Carmela et al.; "Identification of <i>Id4</i> as a regulator of <i>BRCA1</i> expression by using a ribozyme-library-based inverse genomics approach"; 2001, <u>PNAS</u> , Vol. 98, No. 1, pp. 130-135.   |                |
|                     | AF                    | BRUMMELKAMP, Thijn R. et al.; "A System for Stable Expression of Short Interfering RNAs in Mammalian Cells"; 2002, <u>Science</u> , Vol. 296, pp. 550-553.  |                |
|                     | AG                    | KAWASAKI, Hiroaki et al.; "Identification of genes by hybrid ribozymes that couple cleavage activity with the unwinding activity of an endogenous RNA helicase"; 2002, <u>European Molecular Biology Organization</u> , Vol. 3, No. 5, pp. 443-450.             |                |
|                     | AH                    | MIYAGISHI, Makoto et al.; "U <sup>A</sup> promoter-driven siRNAs with four uridine' overhangs efficiently suppress targeted gene expression in mammalian cells"; 2002, <u>Nature Biotechnology</u> , Vol. 19, pp. 497-500.                                      |                |

Examiner  
SignatureDate  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.